**ORGANISATION RISK PROFILE (ORP) ASSESSMENT**

**CAT**

Organisation: **Click here to enter text.** Assessed by / Date: **Click here to enter text.**

|  |  |  |
| --- | --- | --- |
| **Risk level/profile** | | |
| **No** | **Organisation risk parameter** | **Level 3 (least desirable)** | **Level 2 (average)** | **Level 1 (most desirable)** | **Result (Level #)** |
| 1 | Accountable manager’s accountability to the competent authority related to the performance of safety/compliance monitoring functions |  |  |  |  |
| 2 | Accountable manager’s background |  |  |  |  |
| 3 | Experience and qualifications of  the compliance monitoring manager |  |  |  |  |
| 4 | Accountability structure of compliance monitoring management function |  |  |  |  |
| 5 | Experience and qualification of the safety manager (SM) |  |  |  |  |
| 6 | Financial management |  |  |  |  |
| 7 | Hazard reporting system |  |  |  |  |
| 8 | Incident reporting, investigation  and remedial action procedures |  |  |  |  |
| 9 | Management of safety risk assessment processes by the operator |  |  |  |  |
| 10 | Assessment of associated risks carried out within the occurrences reporting scheme |  |  |  |  |
| 11 | Roster control (reports on increase of an FDP that exceeds 1 hour during the month) |  |  |  |  |
| 12 | Fatigue and alertness management (Day and night crew rosters) |  |  |  |  |
| 13 | Violation reporting |  |  |  |  |
| 14 | Management of changes by the operator |  |  |  |  |
| 15 | Training programme |  |  |  |  |
| 16 | Operator with up to 20 FTEs involved in the activity. |  |  |  |  |
| 17 | Operator’s experience in CAT operations |  |  |  |  |
| 18 | Level of activity |  |  |  |  |
| 19 | Multiplicity of aircraft types |  |  |  |  |
| 20 | Fleet size |  |  |  |  |
| 21 | Mixed fleet flying (MFF)  (percentage of pilots involved in  MFF — higher percentage is less  desirable) |  |  |  |  |
| 22 | Part time nominated persons |  |  |  |  |
| 23 | Organisation's attitude to the competent authority |  |  |  |  |
| 24 | Staff turnover especially Chief Pilots/supervising staff |  |  |  |  |
| 25 | Turnover of accountable manager or safety manager or compliance monitoring manager over the last 36 months |  |  |  |  |
| 26 | Multiple duties of safety (SM) or compliance monitoring management (CMM) staff |  |  |  |  |
| 27 | Ratio of operator’s internal safety and compliance monitoring staff to all operational staff |  |  |  |  |
| 28 | Supervision of personnel |  |  |  |  |
| 29 | Exercising of the operational control over any flight operated under the terms of AOC |  |  |  |  |
| 30 | The extent and scope of contracted activities performed by another organisation | . |  |  |  |
| 31 | Oversight of persons holding a licence, certificate, rating or attestation |  |  |  |  |
| 32 | Exercising of regular activity at more than one site |  |  |  |  |
| 33 | Audit/inspection findings (Levels 1 and 2) during the last 24 months |  |  |  |  |
| 34 | Safety trends |  |  |  |  |
| 35 | SAFA/SACA inspections findings (Category 3, 2, 1) rate for the last 12 months |  |  |  |  |

|  |  |
| --- | --- |
|  | **Subtotal** |
| Level 3 |  |
| Level 2 |  |
| Level 1 |  |
| N/A |  |
| Total number of questions |  |

|  |  |
| --- | --- |
| **Assessment result** | |
| Total points | ORP category |
|  |  |

|  |  |
| --- | --- |
| **ORP categorization** | |
| Total score | Total score |
| 30-41 | 30-41 |
| 42-53 | 42-53 |
| 54-66 | 54-66 |
| 67-79 | 67-79 |
| 80-90 or more | 80-90 or more |

**OI REPORT**

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

OI NAME/SIGNATURE/DATE

**POI COMMENTS**

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

POI NAME/SIGNATURE/DATE

**INFORMATION TO THE OPERATOR**

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

POI NAME/SIGNATURE/DATE